

2-15-01

CP 1619



EXPRESS MAIL LABEL NO. EL746187836US

PATENT APPLICATION

Docket No: 7678.350.2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Steven D. Jensen and Dan E. Fischer, D.D.S.

Serial No. 09/710,181

Filed: November 10, 2000

For: COMPOSITIONS AND METHODS FOR
WHITENING AND DESENSITIZING TEETH

Examiner: Raj Bawa, Ph.D.

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CERTIFICATE OF MAILING BY "EXPRESS MAIL"

I hereby certify that following documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated below in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Date of deposit: February 14, 2001

- Information Disclosure Statement
- Form PTO-1449 Listing Three Hundred and Seven (307) References
- Two (2) Forms PTO-1449 From Previously Submitted U.S. Application
Serial No. 09/190,709
- Copies of Thirteen (13) References Not Previously Submitted
- Transmittal Letter
- Postcard

Respectfully submitted,

John M. Guynn
Attorney for Applicants
Registration No. 36,153

JMG:cm



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X Statement that selected cited references were previously cited by or submitted to the United States Patent and Trademark Office in a prior application which is relied upon for an earlier filing date under 35 U.S.C. § 120.

A. Additional Materials Required Due to Content of Information Disclosure Statement

Transmitted are the following documents in addition to the Information Disclosure Statement as required variously under 37 C.F.R. § 1.98:

- ☒ Form PTO-1449 listing Three Hundred and Seven (307) references submitted for consideration.
- ☒ Copies of the thirteen (13) references not previously submitted listed on the Form PTO-1449.
- ☒ Copies of the following documents from the prosecution of a previous, related application:
 - ☒ Two (2) Forms PTO-1449 and Information Disclosure Statement from U.S. Application Serial No. 09/190,709, filed November 12, 1998 from which priority is claimed under 35 U.S.C. § 120.

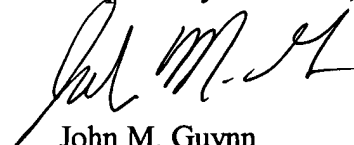
B. Additional Materials Required Due to Timing of Filing of Information Disclosure Statement

The transmitted Information Disclosure statement is being filed prior to the latter of either three (3) months following the filing date or the mailing of a first Office Action. Accordingly, no fee is required.

The commissioner is hereby authorized to charge payment of or credit any overpayment associated with this communication to Deposit Account No. 23-3178. A duplicate copy of this sheet is enclosed.

DATED this 14th day of February 2001.

Respectfully submitted,



John M. Guynn
Attorney for Applicants
Registration No. 36,153



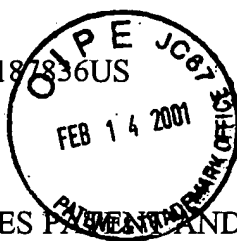
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Examiner: Raj Bawa, Ph.D.)

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record

believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

In accordance with 37 C.F.R. § 1.98(c), all English translations within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) of each nonenglish reference, if any, are also enclosed.

Since all listed references are either in the English language or are accompanied by a translation into English, no concise explanation of relevance is required under 37 C.F.R. § 1.98(a)(3).

Statement of References Previously Disclosed

Under 37 C.F.R. § 1.98(d)

All listed references, with the exception of the following thirteen (13), are not enclosed because, under 37 C.F.R. § 1.98(d), they were previously cited or submitted to the Office in U.S. Application Serial Number 09/190,709, which is relied upon for an earlier filing date under 35 U.S.C. § 12.


5,098,303	5,725,843
5,234,342	5,827,505
5,376,006	5,851,512
5,409,631	5,855,870
5,427,768	6,036,943
5,505,933	6,099,868

Dental Products Report, Medical Dental Communications, p. 2 (Nov. 1998)

This Information Disclosure Statement is being submitted within three (3) months of the filing date and before the first Office Action, therefore no fee is due.

Dated this 14th day of February 2001.

Respectfully submitted,



John M. Guynn
Attorney for Applicants
Registration No. 36,153



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